

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

OFM

**MUTUAL SECURITIES INC
P.O. BOX 2864
CAMARILLO, CA 93011**

SEC Rule 606 Quarterly Report for the Quarter Ending March 31, 2019

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	78.06%
Limit orders as percentage of total limit orders	11.02%
Other orders as percentage of total other orders	10.91%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	45.99%
2. VIRTU Americas LLC	15.59%
3. G1 Execution Services, LLC	13.14%
4. National Financial Services LLC	9.63%
5. Two Sigma Securities, LLC	3.79%
6. New York Stock Exchange	2.56%
7. Cboe EDGX U.S. Equities Exchange	1.78%
8. UBS Securities, LLC	1.73%
9. Credit Suisse Securities (USA) LLC	1.22%
10. NASDAQ Execution Services, LLC	1.17%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	52.50%
Limit orders as percentage of total limit orders	25.25%
Other orders as percentage of total other orders	20.41%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	13.48%
Limit orders as percentage of total limit orders	13.64%
Other orders as percentage of total other orders	32.65%

Material aspect of relationship with venues: Mutual Securities, Inc. does not receive payment for order flow or any other type of compensation for non-directed order flow.

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	15.19%
Limit orders as percentage of total limit orders	9.60%
Other orders as percentage of total other orders	2.04%

4. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	11.55%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	5.61%

5. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.42%
Limit orders as percentage of total limit orders	2.02%
Other orders as percentage of total other orders	1.02%

6. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.07%
Limit orders as percentage of total limit orders	21.21%
Other orders as percentage of total other orders	1.53%

7. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	16.16%
Other orders as percentage of total other orders	0.00%

8. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.78%
Limit orders as percentage of total limit orders	1.01%
Other orders as percentage of total other orders	2.04%

9. Credit Suisse Securities (USA) LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	11.22%

10. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	7.58%
Other orders as percentage of total other orders	3.06%

Material aspect of relationship with venues: Mutual Securities, Inc. does not receive payment for order flow or any other type of compensation for non-directed order flow.

Securities Listed on The Nasdaq Stock Market

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	71.73%
Limit orders as percentage of total limit orders	9.05%
Other orders as percentage of total other orders	19.22%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	41.25%
2. VIRTU Americas LLC	16.80%
3. G1 Execution Services, LLC	13.68%
4. National Financial Services LLC	6.84%
5. Cboe EDGX U.S. Equities Exchange	4.53%
6. Two Sigma Securities, LLC	4.23%
7. NASDAQ Execution Services, LLC	4.02%
8. Credit Suisse Securities (USA) LLC	2.52%
9. Cboe BZX U.S. Equities Exchange	1.71%
10. UBS Securities, LLC	1.51%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	50.35%
Limit orders as percentage of total limit orders	13.33%
Other orders as percentage of total other orders	20.42%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	15.15%
Limit orders as percentage of total limit orders	12.22%
Other orders as percentage of total other orders	25.13%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	18.65%
Limit orders as percentage of total limit orders	3.33%
Other orders as percentage of total other orders	0.00%

4. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.56%
Limit orders as percentage of total limit orders	1.11%
Other orders as percentage of total other orders	3.14%

Material aspect of relationship with venues: Mutual Securities, Inc. does not receive payment for order flow or any other type of compensation for non-directed order flow.

5. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	41.11%
Other orders as percentage of total other orders	4.19%

6. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.89%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	27.78%
Other orders as percentage of total other orders	7.85%

8. Credit Suisse Securities (USA) LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	13.09%

9. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	1.11%
Other orders as percentage of total other orders	8.38%

10. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.26%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	3.14%

Material aspect of relationship with venues: Mutual Securities, Inc. does not receive payment for order flow or any other type of compensation for non-directed order flow.

Securities Listed on NYSE American or Regional Exchanges

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	88.36%
Limit orders as percentage of total limit orders	6.00%
Other orders as percentage of total other orders	5.64%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	49.56%
2. VIRTU Americas LLC	18.69%
3. G1 Execution Services, LLC	16.23%
4. Two Sigma Securities, LLC	5.47%
5. NYSE American	3.53%
6. JonesTrading	3.00%
7. National Financial Services LLC	1.06%
8. UBS Securities, LLC	1.06%
9. NYSE Arca	0.35%
10. Credit Suisse Securities (USA) LLC	0.35%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	52.50%
Limit orders as percentage of total limit orders	5.88%
Other orders as percentage of total other orders	50.00%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	18.16%
Limit orders as percentage of total limit orders	17.65%
Other orders as percentage of total other orders	28.13%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.37%
Limit orders as percentage of total limit orders	5.88%
Other orders as percentage of total other orders	9.38%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.99%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	3.13%

Material aspect of relationship with venues: Mutual Securities, Inc. does not receive payment for order flow or any other type of compensation for non-directed order flow.

5. NYSE American

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	58.82%
Other orders as percentage of total other orders	0.00%

6. JonesTrading

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.39%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.20%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.20%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	5.88%
Other orders as percentage of total other orders	0.00%

10. Credit Suisse Securities (USA) LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	6.25%

Material aspect of relationship with venues: Mutual Securities, Inc. does not receive payment for order flow or any other type of compensation for non-directed order flow.

Exchange - Listed Options

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	53.03%
Limit orders as percentage of total limit orders	29.00%
Other orders as percentage of total other orders	17.97%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Cboe Options Exchange	26.24%
2. NASDAQ PHLX Options	19.99%
3. NYSE American Options	17.63%
4. Cboe BZX Options Exchange, Inc.	17.23%
5. ISE Gemini Options Exchange	3.43%
6. Cboe EDGX Option Exchange	3.36%
7. ISE Mercury Exchange	3.03%
8. NASDAQ Options Market	1.88%
9. The MIAX Options Exchange	1.62%
10. NASDAQ BX Options	1.55%

Information Concerning Significant Venues :

1. Cboe Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	14.85%
Limit orders as percentage of total limit orders	3.48%
Other orders as percentage of total other orders	96.63%

2. NASDAQ PHLX Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	31.60%
Limit orders as percentage of total limit orders	10.21%
Other orders as percentage of total other orders	1.50%

3. NYSE American Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	25.25%
Limit orders as percentage of total limit orders	13.46%
Other orders as percentage of total other orders	1.87%

4. Cboe BZX Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.63%
Limit orders as percentage of total limit orders	58.24%
Other orders as percentage of total other orders	0.00%

Mutual Securities, Inc. may receive remuneration in the form of payments for directing orders to designated broker/dealers or market centers for execution. The amount of payment may range from \$0.00 - \$0.35 per option contract.

5. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.96%
Limit orders as percentage of total limit orders	0.93%
Other orders as percentage of total other orders	0.00%

6. Cboe EDGX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.08%
Limit orders as percentage of total limit orders	2.32%
Other orders as percentage of total other orders	0.00%

7. ISE Mercury Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.46%
Limit orders as percentage of total limit orders	0.46%
Other orders as percentage of total other orders	0.00%

8. NASDAQ Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.52%
Limit orders as percentage of total limit orders	3.71%
Other orders as percentage of total other orders	0.00%

9. The MIAX Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.05%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. NASDAQ BX Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.92%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Mutual Securities, Inc. may receive remuneration in the form of payments for directing orders to designated broker/dealers or market centers for execution. The amount of payment may range from \$0.00 - \$0.35 per option contract.