

FINRA PUBLIC DISCLOSURE PROGRAM (FINRA RULE 2267)

FINRA's BrokerCheck Hotline provides certain information regarding the disciplinary history of FINRA members and their associated person in response to written inquiries, electronic inquiries, or telephonic inquiries via FINRA's (1) toll-free telephone is 1-800-289-9999, or (2) website at www.finra.org.

Additionally, FINRA has prepared an investor brochure that includes information describing BrokerCheck.